



Area of application: basic principles

## **Checklist for renewing a type-examination certificate for machinery pursuant to the EC Machinery Directive 2006/42/EC, Annex IX, (9): information provided by the manufacturer**

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Accredited Certification Body SCESp 0008  
European notified body,  
identification number 1246

Order no. CE20-2.e  
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**Checklist for renewing a type-examination  
certificate for machinery pursuant to  
the EC Machinery Directive 2006/42/EC,  
Annex IX, (9):  
information provided by the manufacturer**

Author : Mauritius Bollier, Urs Bühlmann  
Issued : 05.02.2024  
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**Checklist for renewing a type-examination certificate  
for machinery pursuant to the EC Machinery Directive 2006/42/EC,  
Annex IX, (9):  
information provided by the manufacturer**

**Customer:**

***Order number:***  
*(Information in italics is filled in by the certification body)*

**Type-examination certificate number(s)\*:**

\*If several certificates are to be renewed under the same order number, the certificate renewal of those types which are unchanged and for which the manufacturer has not received any complaints regarding safety (cf. Chapter 2.1) can be assessed with the same checklist.

**1. Procedure for renewing the validity of a type-examination certificate**

The process sequence under Section 3 must be observed.

The manufacturer/applicant receives a reminder letter from the certification body to notify them of the expiry of the type-examination certificate.  
If the manufacturer/applicant wishes to extend the validity of the type-examination certificate, they must inform the certification body accordingly.

Reason for renewal	Procedure	Necessary information
<b>Expiry of validity of the type-examination certificate after five years at the latest</b>	Extension of validity of the type-examination certificate <b>without</b> a new type-examination according to the process sequence CE08-1.e (10)	see 2.1
<b>Change to details on the type-examination certificate</b>		see 2.1
<b>Change to the type</b> If the changes are not relevant to safety, the certification body may decide to extend the validity of the type-examination certificate without a new type-examination. If the change is relevant to safety, a new type-examination is required.		see 2.2
<b>Manufacturer has received complaints regarding the safety of the machine</b> since the expiring type-examination certificate was issued. The EU Commission prohibits or restricts the placing on the market of machinery that poses a risk to persons or property (cf. 2006/42/EC Art. 9, Art. 10, Art. 11): <ul style="list-style-type: none"> <li>- machine does not satisfy essential health and safety requirements (2006/42/EC Art. 11 (2)(a)).</li> <li>- incorrect application of harmonised standards (2006/42/EC Art. 11 (2)(b)).</li> <li>- machine conforms to a harmonised standard that is itself deficient (2006/42/EC Art. 11 (2)(c)).</li> </ul>	Extension of validity of the type-examination certificate <b>with a</b> new type-examination according to the process sequence CE08-1.e (10)  The updated technical file is to be submitted in accordance with checklists CE08-7 (machinery), CE08-12 (safety components, control systems). Note: unamended documents should not have their name changed. This reduces the effort needed for verification.	see 2.2
<b>Change in the state of the art</b> <ul style="list-style-type: none"> <li>- New regulations/directives/standards shall replace the regulations/directives/standards applied during the type-examination (publication in the EU Official Journal is decisive).</li> <li>- The Commission shall withdraw references to a harmonised standard from the EU Official Journal or shall publish the standard with restrictions (cf. 2006/42/EC Art. 10).</li> </ul>		see CE08-1, CE08-7 and/or CE08-12

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## 2. Information provided by the manufacturer/applicant on the extension of the validity of a type-examination certificate

### 2.1 Type unchanged, no complaints regarding safety

( place a cross in the  relevant box)

If the type is unchanged and the manufacturer has not received any complaints regarding safety, the manufacturer/applicant must submit the following details:

Brand

unchanged

new:

Type designation

unchanged

new:

File names of the pictures and drawings of the current machine, which can be used to prove that the machine is identical to the tested type:

The manufacturer/applicant confirms that there has been no change in the manufacture of the machine compared to the type to which the expiring type-examination certificate refers and that it has not received any complaints regarding the safety of the machine since the expiring type-examination certificate was issued.

Place, date:

Signature of applicant:

This checklist is to be sent together with the completed application for the type-examination procedure CE08-1.e to the following address:

Suva

Section Technology

Accredited Certification Body SCESp 0008

European notified body, identification number 1246

Mr/Ms

*(Name of technical expert if known)*

P.O. Box 4358

Tel. +41 58 411 12 12

CH-6002 Lucerne

## 2.2 Type changed and/or complaints regarding safety

( place a cross in the  relevant box)

If the type has been changed and/or the applicant/manufacturee has received complaints regarding the safety of the machine since the expiring type-examination certificate was issued, the manufacturer/applicant must submit the following details:

Brand

unchanged

new:

Type designation

unchanged

new:

If type has been changed: description of the change(s) to the type:

If complaints have been received: description of complaints regarding the safety of the machine, which the manufacturer has received since the expiring type-examination certificate was issued:

The manufacturer/applicant confirms that all changes to the type and any complaints regarding safety are described in this information.

Place, date:

Signature of applicant:

This checklist is to be sent together with the completed application for the type-examination procedure CE08-1.e and the updated technical file according to the checklist CE08-7.e (machinery) or CE08-12 (safety components, control systems) to the following address:

Suva

Section Technology

Accredited Certification Body SCESp 0008

European notified body, identification number 1246

Mr/Ms

*(Name of technical expert if known)*

P.O. Box 4358

Tel. +41 58 411 12 12

CH-6002 Lucerne



### 3 PROCESS SEQUENCE FOR RENEWING A TYPE-EXAMINATION CERTIFICATE

Customer	Suva	Process	Documents
X	X	<pre> graph TD     Start([Start]) --&gt; Reminder[Reminder for renewal of type-examination certificate]     Reminder --&gt; Info[Information regarding renewal]     Info --&gt; Q1{Do you want to renew the type-examination certificate?}     Q1 -- No --&gt; Expire[Type-examination certificate expires at the end of the validity period]     Expire --&gt; End1([End])     Q1 -- Yes --&gt; Q2{Has the relevant state of the art remained unchanged since the expiring type-examination certificate was issued?}     Q2 -- No --&gt; Info2[Information about changes to the state of the art]     Info2 --&gt; Process[Process sequence for type examination and certification in accordance with CE08-1.e Section 10]     Q2 -- Yes --&gt; Request[Request for documents for renewal of the certificate if the state of the art is unchanged]     Request --&gt; Submit[Submit documents]     Submit --&gt; Check[Check documents]     Check --&gt; Q3{Documents OK?}     Q3 -- No --&gt; Request     Q3 -- Yes --&gt; Q4{No health and/or safety-related changes have been made to the type?}     Q4 -- No --&gt; Process     Q4 -- Yes --&gt; Q5{There have been no complaints regarding safety.}     Q5 -- No --&gt; Process     Q5 -- Yes --&gt; Order[Enter and confirm order]     Order --&gt; NewCert[New version of the type-examination certificate]     NewCert --&gt; Final[Final account]     Final --&gt; End2([End])                     </pre>	<p>CE20-2 CE08-1, CE08-7 and/or CE08-12</p> <p>CE20-3</p> <p>CE20-3</p> <p>CE20-3</p> <p>CE08-1, CE08-7 and/or CE08-12 CE93-11/ AS 283</p>